



UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office
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Washington, D.C. 20231

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
112028-144 3072/0501	08/03/98	CHARLES J BARBAS CESARI AND MCKENNA 69 ROWES WHARF BOSTON MA 02110	112028-144 msg parts 8/11/98 NOT ASSIGNED 2764 06/01/98

CHARLES J BARBAS
CESARI AND MCKENNA
69 ROWES WHARF
BOSTON MA 02110

112028-144
3072/0501
HBD

DATE MAILED:

06/01/98

NOTICE TO FILE MISSING PARTS OF APPLICATION

Filing Date Granted

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given TWO MONTHS FROM THE DATE OF THIS NOTICE within which to file all required items and pay fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the SURCHARGE set forth in 37 CFR 1.16(e) of \$65.00 for a small entity in compliance with 37 CFR 1.27, or \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a small entity (statement filed) non-small entity is \$ 130.00.

1. The statutory basic filing fee is:
 missing.
 insufficient.
Applicant must submit \$ 790.00 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27). 302.00

2. Additional claim fees of \$ 302.00, including any multiple dependent claim fees, are required.
\$ 164.00 for 3 independent claims over 3.
\$ 198.00 for 9 dependent claims over 20.
\$ for multiple dependent claim surcharge.
Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

3. The oath or declaration:
 is missing or unexecuted.
 does not cover the newly submitted items.
 does not identify the application to which it applies.
 does not include the city and state or foreign country of applicant's residence.
An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47. 790.00
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).
 7. Your filing receipt was mailed in error because your check was returned without payment.
 8. The application does not comply with the Sequence Rules.
See attached "Notice to Comply with Sequence Rules 37 CFR 1.821-1.825."
 9. OTHER:

Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

A copy of this notice MUST be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2: COPY TO BE RETURNED WITH RESPONSE



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112028-0014
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RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION

Enclosed in response to the Notice to File Missing Parts of Application-Filing Date Granted mailed June 1, 1998, is a Declaration for Patent Application and an Assignment executed by the inventors the along with a check for \$1282 to cover the filing fee, additional claims and surcharge for this Response. The above-referenced application filed in the Patent and Trademark Office is the application that the inventors executed by signing the enclosed Declaration.

Please charge any additional fee occasioned by this paper to our Deposit Account No. 03-1237.

Respectfully submitted,

Heather B. Shapiro
Heather B. Shapiro
Reg. No. 41,305
CESARI AND MCKENNA, LLP
30 Rowes Wharf
Boston, MA 02110-2699
(617) 951-2500



PATENTS
112028-0014

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re The Application of:)
Maria E. Barone et al.)
Serial No.: 09/033,997)
Filed: March 3, 1998)
For: Method and Apparatus for Predicting)
Plasma-Process Surface Profiles)
)
)
)
)
Examiner: Not Yet Assigned
Art Unit: 2764
Cesari and McKenna, LLP
30 Rowes Wharf
Boston, MA 02110
July 27, 1998

CERTIFICATE OF EXPRESS MAILING

“Express Mail” Mailing-Label Number: EL024398220US

I hereby certify that the following Response to Notice to File Missing Parts, Assignment, Declaration and Power of Attorney and a check in the amount of \$1282.00 are being deposited with the United States Postal Service “Express Mail Post Office to Addressee” service pursuant to 37 C.F.R. §1.10 in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231, on July 27, 1998.

Jonathan Hayman

Honorable Commissioner of Patents and Trademarks
Washington, D.C. 20231

Sir: